

APPLICATION PROFESSIONAL LIABILITY INSURANCE ARCHITECTS & ENGINEERS (CLAIMS-MADE FORM)

MAILING ADDRESS:		Pho	ne No
CITY, STATE & ZIP COI	DE:		
DATE ESTABLISHED_	Corporation	Partnership	Individual
consolidation taken place?	has the name of the firm been char YesNo If yes, plea	ase give full details:	<u> </u>
	owned by, associated with or control	• •	
b. Fees & Receipts/Constr	uction Values		
	Estimate for Coming Year	Present 12 Months	Previous 12 Mon
Dates	From to	Fromto	Fromto
Domestic Operations			
a. Construction Values b Gross Billings/Fees whether collected or not (excluding fees derived from Joint			
Ventures)			
Foreign Operations a. Construction Values			
b. Gross Billings/Fees whether collected or not (excluding fees derived from Joint Ventures)			
PROFESSIONAL ACTIV	TTIES AND SPECIALTY (Attach		
	ofessional activities for which cove		

- b. Please attach separately lists of:
 - (i) five largest projects and description of work performed for each;
 - (ii) names of partners, key employees, etc. and their professional qualifications including resumes.
- c. Please attach copies of:
 - (i) advertisements, brochures, descriptive literature;
 - (ii) sample contract between you and your clients outlining services to be rendered;
 - (iii) latest financial data (Annual Report or Balance Sheet).

a. Number of Engineers		Fieldman (rodmen, cha	inmen et	c)
b. Number of Surveyors	f. Number of	*	mmen, eu	<i>C)</i>
c. Number of Architects		Technical Employees		
d. Number of Inspectors		Clerical & Accounting	Employee	es
States in which licensed?				
Please indicate the approximate percentage	ges of the professions in w	hich vour firm is engag	red:	
	cal Eng%	Naval/Marine	%	Const. Mgmt
Build Designers% HVAC	Eng%	Process Eng.	%	Soil Eng.
Civil Eng% Land S	urveyors %	Struct. Eng.		Others not shown
Design/Const% Mecha	nical Eng%	Testing Lab	 %	please specify below
Environmental Eng% Interior	Design%	-		
Has the Applicant ever provided any servi please explain: Does the Applicant's practice involve any please specify what is sublet or subcontract and the subcontract of the subcontract	subletting or subcontracting ted If Yes, please give full yer been the subject of disco If yes, please g	ng of work to others? Il details: iplinary action by auth	Yesorities as a	No If ye
Please indicate the type and approximate p	percentage of work under e	C		
Work in connection with: a. Feasibility studies, reports, surveys, wh				
design	ere applicant is not involve	None	Yes	%
b. Design without supervisory services		None	Yes	 %
c. Design and Observation		None	Yes_	%
d. Boundary Surveys		None	Yes	%
e. Soil Testing		None	Yes	%
f. Sewerage Systems		None	Yes	%
g. Water Systems		None	Yes	%
h. Foundations		None	Yes	%
i. Interior Design		None	Yes	%
j. HVAC, plumbing & electricity		None	Yes	%
k. Naval/Marine		None	Yes	%
1. Work as construction managers		None	Yes	%
m. Testing labs		None	Yes	%
n. Materials handling		None	Yes	%
o. Disposal or handling of hazardous was	te	None	Yes_	%
p. Other		None	Yes	%
•		Total		100%

Design with periodic inspection of construction to ensure design compliance (per AIA/ACEC/NSPE contracts) Design with periodic inspection of construction to ensure design compliance (per AIA/ACEC/NSPE contracts) Design with responsibility for directing the contractor Other	Services not resulting in construction		0.7		
design compliance (per AIA/ACEC/NSPE contracts) Design with responsibility for directing the contractor Other TOTAL 100 ** ** ** ** ** ** ** ** *	Design with no construction phase services		%		
Design with responsibility for directing the contractor Other TOTAL 100 % II. TYPE OF PROJECTS Work in connection with: a. Private dwelling, apts., and condominiums None Yes 9% b. Commercial Buildings None Yes 9% c. Hospitals, Schools, Churches and Municipal Buildings None Yes 9% d. Industrial buildings None Yes 9% d. Industrial buildings None Yes 9% d. Industrial buildings None Yes 9% f. Mines None Yes 9% f. Mines None Yes 9% f. Mines None Yes 9% f. Bridges & tunnels None Yes 9% h. Parking Structures None Yes 9% h. Parking Structures None Yes 9% m. Power Plants None Yes 9% m. Subdivisions None Yes 9% m. Power Plants None Yes 9% m. Subdivisions None Yes 9% m. Power Plants None Yes 9% m. Subdivisions None Yes 9% m. Financial process None Yes 9% m. Subdivisions					
Other TOTAL 100 % II. TYPE OF PROJECTS Work in connection with: a. Private dwelling, apts., and condominiums		-			
II. TYPE OF PROJECTS Work in connection with: a. Private dwelling, apts., and condominiums None		-			
Work in connection with: a. Private dwelling, apts., and condominiums b. Commercial Buildings c. Hospitals, Schools, Churches and Municipal Buildings c. Petrochemical, refinery, fertilizer, ammonia, urea plants f. Mines c. Petrochemical, refinery, fertilizer, ammonia, urea plants f. Mines g. Harbors & Jetties h. Bridges & tunnels h. Bridges &	Other	100			
Work in connection with: a. Private dwelling, apts., and condominiums b. Commercial Buildings c. Hospitals, Schools, Churches and Municipal Buildings d. Industrial buildings Protected Bu	IOTAL	100	%		
a. Private dwelling, apts., and condominiums b. Commercial Buildings c. Hospitals, Schools, Churches and Municipal Buildings d. Industrial buildings c. Hospitals, Schools, Churches and Municipal Buildings d. Industrial buildings e. Petrochemical, refinery, fertilizer, ammonia, urea plants f. Mines g. Petrochemical, refinery, fertilizer, ammonia, urea plants f. Mines g. Harbors & jetties h. Bridges & tunnels h. None Yes Mone Y		ECTS			
b. Commercial Buildings c. Hospitals, Schools, Churches and Municipal Buildings d. Industrial buildings e. Petrochemical, refinery, fertilizer, ammonia, urea plants f. Mines e. Petrochemical, refinery, fertilizer, ammonia, urea plants f. Mines e. Petrochemical, refinery, fertilizer, ammonia, urea plants f. Mines e. Petrochemical, refinery, fertilizer, ammonia, urea plants f. Mines None_Yes		3.7		X 7	0.4
c. Hospitals, Schools, Churches and Municipal Buildings d. Industrial buildings e. Petrochemical, refinery, fertilizer, ammonia, urea plants None_Yes					
d. Industrial buildings e. Petrochemical, refinery, fertilizer, ammonia, urea plants f. Mines g. Harbors & jetties h. Bridges & None Yes					
e. Petrochemical, refinery, fertilizer, ammonia, urea plants None Yes					
f. Mines g. Harbors & jetties h. Bridges & tunnels i. Dams j. Nuclear & atomic projects k. Parking Structures NoneYes					
g. Harbors & jetties None Yes %	· · · · · · · · · · · · · · · · · · ·				
h. Bridges & tunnels i. Dams i. Dams None Yes					
i. Dams j. Nuclear & atomic projects j. Nuclear & atomic projects k. Parking Structures l. Highways/roads m. Power Plants n. Subdivisions l. Highways/roads m. Power Plants NoneYes					
j. Nuclear & atomic projects None Yes % % Parking Structures None Yes % % Parking Structures None Yes % % Mone Yes M			_		
k. Parking Structures l. Highways/roads n. Power Plants None_Yes					
Highways/roads					
m. Power Plants n. Subdivisions n. Subdivisions None Yes					
n. Subdivisions o. Industrial/process p. Environmental q. Other					
o. Industrial/process p. Environmental q. Other					
p. Environmental q. Other					
q. Other					
Does the Applicant foresee any substantial changes in item No. 6.a. during the next twelve months? YesNo If yes, please give details:	1				
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Does the Applicant, or any enterprise financially related to the Applicant or the Applicant's principals, partners, dire officers engage in any of the following activities? Construction, rection, fabrication or installation The letting of construction contracts YesNo Construction or project management YesNo Manufacture, sale or distribution of any product, good or process YesNo Real Estate Development YesNo If any of the above are answered "Yes", please explain What percentage of the Applicant's practice involves any of the following: a. Subletting of work to others% Type of work sublet% b. Is evidence of Insurance from consultants required? YesNo Equity Interest: Does the applicant provide professional services on projects in which he retains ownership interest (BASIC POLICY EXCLUDE COVERAGE FOR THESE PROJECTS)? Yes No If coverage is desired provide complete details.	Does the Applicant foresee any substantial changes in item No. 6.a	$\frac{\mathrm{To}}{\mathrm{To}}$. during the next	tal twelv	e months?	YesNo
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officers engage in any of the following activities? Construction, erection, fabrication or installation The letting of construction contracts Construction or project management YesNo Construction or project management YesNo Manufacture, sale or distribution of any product, good or process YesNo Real Estate Development YesNo If any of the above are answered "Yes", please explain What percentage of the Applicant's practice involves any of the following: a. Subletting of work to others% Type of work sublet% b. Is evidence of Insurance from consultants required? Yes No Equity Interest: Does the applicant provide professional services on projects in which he retains ownership interest (BASIC POLICY EXCLUDE COVERAGE FOR THESE PROJECTS)? Yes No If coverage is desired provide complete details.	Does the Applicant foresee any substantial changes in item No. 6.a If yes, please give details: If the Applicant provides any of the following services, please indice Product or Equipment Design% Material Testing	a. during the next	twelv	e months?	YesNo
Construction, erection, fabrication or installation The letting of construction contracts YesNo Construction or project management YesNo Manufacture, sale or distribution of any product, good or process YesNo Real Estate Development YesNo If any of the above are answered "Yes", please explain	Does the Applicant foresee any substantial changes in item No. 6.a If yes, please give details: If the Applicant provides any of the following services, please indice Product or Equipment Design% Material Testing	a. during the next	twelv	e months?	YesNo
The letting of construction contracts Construction or project management YesNo Manufacture, sale or distribution of any product, good or process YesNo Real Estate Development YesNo If any of the above are answered "Yes", please explain What percentage of the Applicant's practice involves any of the following: a. Subletting of work to others% Type of work sublet% b. Is evidence of Insurance from consultants required? YesNo Equity Interest: Does the applicant provide professional services on projects in which he retains ownership interest (BASIC POLICY EXCLUDE COVERAGE FOR THESE PROJECTS)? Yes No If coverage is desired provide complete details.	Does the Applicant foresee any substantial changes in item No. 6.a If yes, please give details: If the Applicant provides any of the following services, please indice Product or Equipment Design% Material Testing Solar Heating% Valuations Does the Applicant, or any enterprise financially related to the Applicant.	a. during the next cate percentage:% S% Fi	twelv	e months?	YesNo
Construction or project management Manufacture, sale or distribution of any product, good or process YesNo Real Estate Development YesNo If any of the above are answered "Yes", please explain What percentage of the Applicant's practice involves any of the following: a. Subletting of work to others% Type of work sublet% b. Is evidence of Insurance from consultants required? YesNo Equity Interest: Does the applicant provide professional services on projects in which he retains ownership interest (BASIC POLICY EXCLUDE COVERAGE FOR THESE PROJECTS)? YesNo If coverage is desired provide complete details. Does any one contract or client represent more than 50% of annual work? Yes No If yes, please	Does the Applicant foresee any substantial changes in item No. 6.a If yes, please give details: If the Applicant provides any of the following services, please indice Product or Equipment Design	cate percentage: % S % Fi	twelv oil Me nancia	e months? Sechanics al or Econor	YesNo
Manufacture, sale or distribution of any product, good or process YesNo Real Estate Development YesNo If any of the above are answered "Yes", please explain	Does the Applicant foresee any substantial changes in item No. 6.a If yes, please give details: If the Applicant provides any of the following services, please indice Product or Equipment Design	a. during the next cate percentage: % S % Fi licant or the App	twelv oil Me nancia	e months? Sechanics al or Econor	YesNo
Real Estate Development If any of the above are answered "Yes", please explain What percentage of the Applicant's practice involves any of the following: a. Subletting of work to others	Does the Applicant foresee any substantial changes in item No. 6.a If yes, please give details: If the Applicant provides any of the following services, please indice Product or Equipment Design	a. during the next cate percentage:% S	twelv oil Me nancia licant _No _No	e months? Sechanics al or Econor	YesNo
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Please specify the percentages relative to the Applicant's total work volume.

Are any o	f the individu uring or fabric	als named cation? Ye	in Item 6.b.(ii	owners, off	ficers, or give detai	employees o	f firm (engaged in ac	ctual co	onstruction,	
Does the COVERA	Applicant wor AGE FOR JOI	rk with oth NT VENT	ner firms in Joi FURES). If cov	nt Ventures? verage is des	? Yesired provi	Noide complete	(BAS	SIC POLICY s:	EXCL	UDES	
Carrier	Limi	t	age for last five		Premi	um	_	Expiration			
If expiring		a claims n	nade policy, w	hat is the ret	troactive of						
details:	e Company	Туре		BI	Limits	PD			ective	To	give
							_				
in busines If yes, ple	ss or present P ase give detai	Partners evo	s & Engineers er been decline	Professional ed or has the	l Liability insurance	Insurance ne ever been o	ancell	ed or renewal	l refuse	ed? Yes 1	No
If yes, ple Has any c If yes, ple	ase give detai	artners even lls: n made aga ails stating	er been decline	Professional ed or has the or any person or claim was i	l Liability insurance ans named made; 2) o	Insurance ne ever been of in item 1. or date the act g	in iter	m 6.b.(ii)? Yorise to the cla	esim was	No s committed;	No
Has any c If yes, ple name of the	laim ever been ase attach det he claimant; 4	n made agails stating n nature of any circ	ainst the firm (g: 1) date when	Professional ed or has the or any person a claim was a amount invoich may resur officers?	ns named made; 2) olved included in any oll the any ol	in item 1. or date the act guding reserve	in itergiving a	m 6.b.(ii)? Yorise to the cla	esim was	No s committed;	No
Has any c If yes, ple name of th Is the App business, o basis as ite	laim ever been ase attach det he claimant; 4 blicant aware or any of the periods.	n made agails stating nature of any circoresent or ped or refus	ainst the firm og: 1) date where f the claim; 5)	Professional ed or has the or any person a claim was a amount involute may result of the profession of	ns named made; 2) obved including any of Yes	in item 1. or date the act guding reserve claim agains _ Nol	in iter giving res; and t him, t	m 6.b.(ii)? Yorise to the cla 6) final disporte firm, his please give fu	es im was osition oredectall deta	No s committed;	3)
Has any c If yes, ple name of th Is the App business, o basis as ite Has any ir	laim ever been ase attach det he claimant; 4 blicant aware of or any of the pem 28.	n made agails stating nature of any circoresent or ped or refus	ainst the firm og: 1) date where f the claim; 5) cumstances which past Partners of sed to renew are	Professional ed or has the or any person claim was a amount invocated may result or Officers?	ns named made; 2) oblved include in any of Yes	in item 1. or date the act guding reserve claim agains Nol	in itergiving res; and	m 6.b.(ii)? Yorise to the cla 6) final disported firm, his please give furthers.	es im was osition predece	No s committed; essors in hils on the sar	3) me
Has any c If yes, ple name of th Is the App business, obasis as ite Has any ir Limits of	laim ever been ase attach det he claimant; 4 clicant aware corrany of the pem 28.	n made agails stating nature of any circoresent or ped or refuses	ainst the firm og: 1) date where the claim; 5) cumstances whipast Partners of sed to renew are	Professional ed or has the or any person claim was a amount involute ich may resur Officers?	ns named made; 2) o blved included that in any of Yes	in item 1. or date the act guding reserved claim agains No luring the pas Deducti	in iter giving res; and thim, of yes, just five y	m 6.b.(ii)? Yorise to the cla 6) final disported firm, his please give furthers.	es im was osition oredectall deta	No s committed; essors in ails on the sar	3) anne
Has any c If yes, ple name of th Is the App business, c basis as itc Has any ir Limits of Desired te The applic suppressed this insuran	laim ever been ase attach det he claimant; 4 blicant aware cor any of the per 28. Liability requestrated for misstated and declares the core, but any s	n made agails stating nature of any circ present or ped or refus ested From nat the about the compused company circ present or ped or refus	ainst the firm og: 1) date where f the claim; 5) cumstances whipast Partners of sed to renew are	Professional ed or has the ed or has the or any person claim was a amount invocated may result of the profession of the edge o	ns named made; 2) oblved including any oblved including the surance definitions and does not be full reliance.	in item 1. or date the act guding reserve claim agains Nol uring the pas Deducti To re true and copind the Com	in itergiving a ses; and thim, a f yes, a st five y ble	m 6.b.(ii)? Yorise to the cla 6) final disported firm, his please give further firm for the content of the firm factor sell nor the	esim was osition predeced all deta	No s committed; essors in nils on the san	3) me
Has any c If yes, ple name of th Is the App business, o basis as ite Has any ir Limits of Desired te The applic suppressed this insurar application	laim ever been ase attach det he claimant; 4 dicant aware cor any of the pem 28. Liability requestra of policy: ant declares the claimant aware core any of the pem 28.	n made agails stating nature of any circ present or ped or refus ested From nat the about the comubisequent lication wi	ainst the firm of the claim; 5) cumstances whipast Partners of the to renew are sed to renew are over statements upletion of this contract issued.	Professional ed or has the ed or has the or any person claim was a amount involuted may result of the may result of the police o	ns named made; 2) of olived included in any of the surance definitions and does not be full relian licy.	in item 1. or date the act guding reserve claim agains Nol uring the pas Deducti To_ re true and cooind the Comce upon the serve and concerns the serve are true ar	in iter giving thes; and thim, of yes, just five ye	ed or renewal m 6.b.(ii)? Yorise to the cla 6) final disport the firm, his please give for years? and that no factor sell nor the	esesesesesesesesese	No s committed; essors in hils on the san ve been cant to purchations made in	3) me